



## Rope Access Evaluation - Candidate Preparation Checklist

This form is intended to assist a candidate preparing to participate in a rope access evaluation. This form should be completed by the candidate and should be reviewed by a representative of the Evaluation Session Host prior to the rope access evaluation. References are provided to SPRAT's *Rope Access Certification Requirements (RCR)*, *Rope Access Evaluation Guidelines (REG)*, and *Safe Practices for Rope Access Work (SP)*.

**Candidate Name:** \_\_\_\_\_

**Evaluation Date:** \_\_\_\_\_

### Personal Information:

- ☐ Documentation verifying identification and age. *REG 2.1.1.1*
- ☐ Personal information accurate in SPRAT's system account. *REG 2.1.1.2*
- ☐ Training received. *REG 2.1.1.3, RCR 3.2*
- ☐ Certification testing level selected. *REG 2.1.1.4*  
*Testing level may not be changed after the evaluation begins. REG 4.1.2*
- ☐ Written test results (if proctored) *REG 3*

### SPRAT Documentation:

- ☐ *Safe Practices for Rope Access Work*
- ☐ *Rope Access Certification Requirements*
- ☐ *Defined Terms*
- ☐ *Rope Access Evaluation Guidelines*
- ☐ *Equipment Guidelines*
- ☐ *Clearance Requirement Guidelines*
- ☐ *Presiding Regulatory Authority Reference*
- ☐ *Evaluation Rubric*

### As applicable:

- ☐ Documentation verifying experience requirements *REG 4.2.2, RCR 3.3, SP 7*
  - ☐ Total hours
  - ☐ Hours since last certification
  - ☐ Sufficient time at current level.
- ☐ Notification of Direct Entry approval *REG 9*



<https://sprat.org/publications>

### Rope Access Certification Requirement Checklist

		Level 1	Level 2	Level 3
<b>General</b>	Performance Principles	6.1	7.2	8.2
	System Analysis		7.3	8.3
<b>Maneuvers</b>	Fundamental Maneuvers	6.2		
	Edge Negotiation with Rope Protection	6.3		
	Deviation	6.4		
	Knot Pass	6.5		
	Rope-to-Rope Transfer (> 2 m)	6.6		
	Re-anchor (> 2 m)	6.7		
	Aid Climbing			
	Horizontal	6.8		
	Vertical		7.4	
	Knots: <input type="checkbox"/> Termination <input type="checkbox"/> Middle <input type="checkbox"/> Stop <input type="checkbox"/> Join	6.9		
<b>System Construction and Operation</b>	Two-Rope System			
	Anchorages < 1 m apart	6.10		
	Edge Negotiation, Anchorages 1 m - 4 m apart		7.5	
	Retrievable Two-Rope System		7.6	
	Deviation or Re-anchor			8.4
	Raising and Lowering			
	Ground or Platform-Based	6.11		
	Suspended Candidate		7.7	
	Suspended Load		7.8	
	Through Knots			8.5
	Lateral Movement			
	Cross-Haul		7.9	
	Tensioned Rope System			8.6
	Remote Rescue System		7.10	
<b>Rescue</b>	Adjacent Rope Set, Ascent to Descent Transition	6.12	* Note 6	* Note 6
	Same Rope Set, Rope-to-Rope Transfer		7.11	
	From Fall Arrest System		7.12	
	From Maneuver 1			8.7
	From Maneuver 2			8.7

I am prepared to complete each requirement of my selected certification level and participate in the rope access evaluation.

\_\_\_\_\_  
Candidate Signature

\_\_\_\_\_  
Date

**Notes for Use:**

1. Candidates should mark or initial each row of their selected certification level when prepared to complete each requirement, and sign and date the form when ready to participate in the rope access evaluation.
2. Level column numbers correspond to SPRAT's *Rope Access Certification Requirements (RCR)* and *Rope Access Evaluation Guidelines (REG)*.
3. Candidates shall complete all white boxes in their testing level (*REG 5.1.2*).
4. Currently certified candidates shall complete at least 50% of gray boxes in each category of their testing level (*REG 5.1.3*).
5. Direct Entry and candidates with expired certifications shall complete all gray boxes in their testing level (*REG 5.1.4*).
- \*6. Upper-level candidates may satisfy the Rescue: Adjacent Rope Set, Ascent to Descent Transition requirement (*RCR 6.12*) by performing an ascent-to-descent transition of a rescue subject during another rescue on the same two-rope system (*REG 5.1.2.1*).

**Candidate Rights and Responsibilities:**

1. A SPRAT certification belongs to the rope access technician.
2. Candidates have the right to provide feedback on any public SPRAT documentation.
3. Candidates have the responsibility to follow the Evaluation Session Host site's access work plan.
4. Candidates have the responsibility to act professionally towards the Evaluator, the Evaluation Session Host, and fellow candidates.
5. Candidates have the responsibility to adhere to SPRAT's Performance Principles.
6. Candidates have the right to not be asked by an Evaluator to do something that would violate SPRAT's Performance Principles.
7. Candidates have the right to ask questions to clarify an Evaluator's instructions.
8. Candidates have the right to an explanation behind an Evaluator's issuance of a discrepancy or fail.
9. Candidates have the right and responsibility to appeal or register a complaint regarding an evaluation.

**Account Access**

<https://app.sprat.org>

**Account Issue Report Form**

<https://sprat.org/issue-report-form/>

**Evaluation Feedback Form**

<https://sprat.org/sprat-candidate-feedback-form>